

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Application No. : 10/789,337
Applicant : David A. Sedacca
Confirmation No. : 9036
Filed : February 27, 2004
TC/A.U. : 2139
Examiner : Heneghan, Matthew E.
Customer No. : 05642
Docket No. : A-8861 (2070.0113C)
Title : SECURE NEGOTIATION AND ENCRYPTION
MODULE

INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§ 1.97 & 1.98

Pursuant to the duty imposed by 37 C.F.R. §1.56 to disclose information which may be material to the patentability of the above-identified patent application, the Applicant would like to direct the Examiner's attention to the documents listed on the enclosed Information Disclosure Citation Form (PTO/SB/08A).

In addition, in accordance with the provisions of M.P.E.P §2001.06(b) and 37 C.F.R. § 1.98(b)(3), Applicant(s) would like to bring to the attention of the Examiner the existence of certain related patent applications that are currently pending with this application in the United States Patent and Trademark Office. The applications are listed on the following Information Disclosure List, Non-Patent Documents, and are stored in the Image File Wrapper (IFW) system of the USPTO. Accordingly, a copy of the cited specification for each application, including the claims and drawings thereof, is not enclosed. M.P.E.P. §609.04(a)(II).

Applicant hereby submits the attached IDS under:

- ☐ 37 C.F.R. 1.97(b) (i.e., within three months of the filing date of the application; within three months of the date of entry of the national stage application; before the mailing of a first Office action; or before the mailing of a first Office action after the filing of a request for continued examination).
- ☒ 37 C.F.R. 1.97(c) (i.e., after the mailing of a first Office action, but before the close of prosecution). The IDS is accompanied by *one* of: The appropriate statement (indicated on Form PTO/SB08a) or (2) the fee set forth in § 1.17(p).

- ☐ 37 C.F.R. 1.97 (d) (i.e., after the close of prosecution, but on or before payment of the issue fee). The IDS is accompanied by *both* of (1) the appropriate statement (indicated on Form PTO/SB08a) and the fee set forth in § 1.17(p).
- ☐ The IDS cites foreign documents not in English. Pursuant to 37 C.F.R. 1.98(a)(3), a concise explanation of the relevance is provided as indicated below:
- ☐ Enclosed is a copy of a non-English publication(s) _____. Applicant submits an English-language version of the search report or action, which cites such non-English language publication(s) and indicates the degree of relevance found by the foreign office.
- ☐ Enclosed is a copy of a non-English publication(s) _____. Applicant submits an English language abstract of the non-English publication(s).
- ☐ Other:
- ☐ The IDS cites foreign patent documents in English and/or Non-Patent Literature (NPL) Documents. Applicant submits copies of the documents.
- ☐ No copies of the non-English or non-patent publications listed on the attached Form PTO/SB/08A are being provided pursuant to 37 C.F.R. §1.98(d) because the publications were previously cited by or submitted to the Office in prior Application Serial No. _____, to which the above-identified application claims priority under 35 U.S.C. §120.

The submission of the listed documents is not intended as an admission that any such document constitutes prior art against the claims of the present application. Applicant does not waive any right to take any action that would be appropriate to antedate or otherwise remove any listed document as a competent reference against the claims of the present application.

The Director is hereby authorized to charge any additional appropriate fees that may be required for the above-identified application, and to credit any overpayment, to Deposit Account No. **05-0460**.

Dated: September 22, 2008

Respectfully submitted by:

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